

For Official Use Only

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

Enter appropriate data below. If, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

Signature

Signed

On

Date _____

Telephone Number

Name of Person Filing James Barnes, Sr.	File Number U-
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B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p>8. Name and address of Business (including trade name, if any).</p> <p>Name Local 301 I. B. of T. Pension Fund</p> <p>Trade Name, if any:</p> <p>P.O. Box, Bldg., Room No., if any</p> <p>Street 36990 N. Greenbay Road</p> <p>City Waukegan</p> <p>State Illinois ZIP Code + 4 60087-3406</p>	<p>9. Business deals with</p> <p><input checked="" type="checkbox"/> a. Labor Organization</p> <p>b. Trust</p> <p>c. Employer</p>
<p>10. If 9.b. or 9.c. is checked give trust or employer's name</p> <p>Name</p> <p>Trade Name, if any:</p> <p>P.O. Box, Bldg., Room No., if any</p> <p>Street</p> <p>City</p> <p>State ZIP Code + 4</p>	<p>11.a. Nature of such dealing.</p> <p>Local 301 I.B. of T. Pension Fund is related through common membership to Teamsters Local 301. The organizations share office space and employees. The Pension Fund also provides pension benefits to covered union members.</p>
	<p>11.b. Approximate dollar value of such dealing. \$250,000</p>
	<p>12.a. Nature of interest held or income received.</p> <p>Income received represents estimated value of meals and related expenses for individual and guest at annual Pension dinner meeting.</p>
	<p>12.b. Amount. \$135</p>

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.	
<p>13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).</p> <p>Name</p> <p>Trade Name, if any:</p> <p>P.O. Box, Bldg., Room No., if any</p> <p>Street</p> <p>City</p> <p>State ZIP Code + 4</p>	<p>14.a. Nature of payment.</p>
<p>13.b. Is the Business an Employer or Consultant ?</p>	<p>14.b. Amount of payment.</p>

Name of Person Filing James Barnes, Sr.

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Prudential Investment Management Services

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street Three Gateway Center, 14th Floor

City Newark

State New Jersey ZIP Code + 4 07102-4077

9. Business deals with:

a. Labor Organization

☒ b. Trust

c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name

Name Local 301 I.B. of T. Pension Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 36990 N. Greenbay Road

City Waukegan

State Illinois ZIP Code + 4 60087-3406

11 a. Nature of such dealing.

Prudential Investment Management Services manages investments for the Local 301 I.B. of T. Pension Fund.

11.b. Approximate dollar value of such dealing. \$200,000

12.a. Nature of interest held or income received.

Value of meals paid by Prudential during an out of town meeting.

12.b. Amount. \$91

Name of Person Filing James Barnes, Sr.

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Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Local 301 I.B. of T. Health & Welfare Fund

Trade Name, if any

P.O. Box, Bldg., Room No., if any

Street 36990 N. Greenbay Road

City Waukegan

State Illinois ZIP Code + 4 60087-3406

9. Business deals with:

☒ a. Labor Organization

b. Trust

c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

Local 301 I.B. of T. Health & Welfare Fund is related through common membership to Teamsters Local 301. The organizations share office space and employees. The Health & Welfare Fund also provides health benefits to covered union members.

11.b. Approximate dollar value of such dealing. \$875,000

12.a. Nature of interest held or income received.

Reimbursement of out of town travel expenses related to attendance at Trustees' meeting; incurred in connection with position as Health & Welfare Fund Trustee.

12.b. Amount. \$2,531